

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

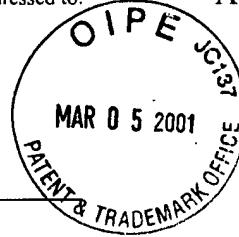
Assistant Commissioner for Patents
Attn: Box Missing Parts
Washington, D.C. 20231

On 2/26/01

TOWNSEND and TOWNSEND and CREW LLP

By: Jordan Ic

Sector \$
PATENT
Attorney Docket No.: 19417-000111US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

William P. Brown et al.

Application No.: 09/657,258

Filed: September 7, 2000

For: METHODS AND SYSTEMS FOR
IMPLEMENTING SHARED DISK
ARRAY MANAGEMENT FUNCTIONS

Examiner: Unassigned

Art Unit: 2184

**TRANSMITTAL LETTER –
RESPONSE TO NOTICE OF MISSING
PARTS**

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Non-Provisional Application
Dated October 25, 2000, enclosed are the following to be made of record in the above-
identified application:

- 1) Preliminary Amendment;
- 2) Amended Figure 18B;
- 3) Petition to Extend Time; and
- 4) Copy of Notice of Missing Parts.

Please charge Deposit Account No. 20-1430 for the following fees:

| | | | |
|---------------|-----|---------------------------------------|----------|
| Small Entity: | (a) | Filing Fee (§ 1.16(a)) (Small Entity) | \$355.00 |
|---------------|-----|---------------------------------------|----------|

(b) Excess Claims Fees (§ 1.16(b), (c)):

38 - 20 = 18 x \$9.00 = \$162.00

7 - 3 = 4 x \$40.00 = \$160.00

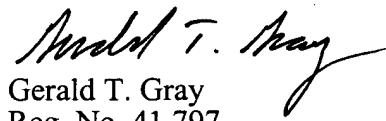
(c) Missing Parts Surcharge \$65.00

TOTAL FEES TO BE CHARGED **\$742.00**

A verified statement claiming small entity status was filed in the prior application, and small entity status if still proper and desired.

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,

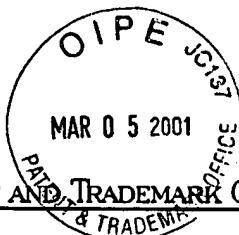


Gerald T. Gray
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SF 1193642 v1



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/657,258 | 09/07/2000 | William P. Brown | 19417-000111US |

20350
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04/20/2001 TV0111 00000017 201430 09657258

Adjustment date: 04/20/2001 TV0111
03/08/2001 AGOITOM 00000001 201430 09657258
01 FC:201 355.00 CH
02 FC:203 162.00 CH
03 FC:202 160.00 CH

FORMALITIES LETTER



OC000000005504720

Date Mailed: 10/25/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/08/2001 AGOITOM 00000001 201430 09657258

FILED UNDER 37 CFR 1.53(b)

01 FC:201 355.00 CH
02 FC:203 162.00 CH
03 FC:202 160.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$636.
 - \$324 for 18 total claims over 20.
 - \$312 for 4 independent claims over 3 .
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

- The balance due by applicant is \$ 1456.**

The following item(s) appear to have been omitted from the application:

- Figure(s) 18B described in the specification.

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09657258
65.00 CH
01 FC:205

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10 OCT 3 1 AM '01
U.S. PATENT AND TRADEMARK OFFICE

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the Patent and Trademark Office (PTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(i))) with evidence of such deposit must be filed within TWO MONTHS of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the PTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the PTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items),